



FUND UNITS SUBSCRIPTION FORM

FIA CU CAPITAL PRIVAT AGRICULTURAL FUND

1. Legal entity data

Name: _____ Legal form and structure _____

Registered office: _____

SRC: _____ Trade Register no. _____ Subscribed and paid share capital _____

IBAN account _____ Bank _____

Purpose and nature of the operation _____

Shareholding and participations _____

NACE code _____

2. Data of the legal representative (authorized person)

Surname and Name ¹: _____ Pseudonym _____

As _____ Public function held _____

Domicile: _____

Citizenship _____ Nationality _____ Residence (Romanian – R / Foreign –F) _____

PIN: _____ Identity document: ID/P, series _____, number _____, issued by _____, on _____

Tel/ Fax: _____, E-mail: _____

Attached documents: ☐ copy of ID ☐ passport ☐ others, specify _____

Surname and Name ²: _____ Pseudonym _____

Domicile address: _____

As _____ Public function held _____ Citizenship _____

Nationality _____ Residence (Romanian – R / Foreign – F) _____

PIN: _____ Identity document: ID/P, series _____, number _____, issued by _____, on _____

Tel/ Fax: _____, E-mail: _____

Attached documents: ☐ copy of ID ☐ passport ☐ others, specify _____

3. Investment information

Invested amount _____ EUR, i.e. _____

Subscription details: Account holder: FIA cu capital privat AGRICULTURAL FUND

IBAN: RO50BRDE450SV62182854500

Bank: BRD Large Corporate Clients Branch, with the office in Bucharest, B-dul Ion Mihalache nr. 1-7, sector 1

SWIFT: BRDEROBU

Payment details: subscription of units to FIA cu capital privat AGRICULTURAL FUND

4. Method for sending the correspondence

☐ e-mail _____ ☐ fax no. _____

☐ mail, at _____

5. Bank data

The payment method of purchased fund units is made by transfer into the account no. _____

opened at _____ Account holder _____

6. FATCA (Foreign Account Tax Compliance Act)

☐ I confirm that the Company is resident in the USA for tax purposes. The company's federal taxpayer identification number (USA TIN) is _____

☐ I confirm that the Company is not resident in the USA for tax purposes.

7. CRS (Common Reporting Standard)

I declare that the Company is fiscally resident in _____ and has the taxpayer identification number* _____

*The taxpayer identification number (TIN) is required unless you are a tax resident in a country/jurisdiction that does not issue a TIN.

8. Statements of the legal representative (authorized person)

- I hereby certify that I have received, read and appropriated the provisions of the Fund documents.
- By signing this application I understand to become party to the memorandum of association.
- I agree that my personal data may be used to obtain additional information, be processed and stored for the purpose of certifying my Fund investor status.
- I declare that I have been made aware of the money laundering legislation and the data provided in this form are correct and real.
- I declare that I have been informed of the risks associated to investments in open-end investment funds.
- I declare that at the date of subscription the Company ☐ legally operates ☐ does not operate legally, according to the legal provisions in force.

9. Beneficial owner

I, the undersigned, _____ as legal representative of _____
declare that the beneficial owner of the amount subscribed to the Fund has the identification data below, according to the attached ID:

10. Subscription documents

To accept and certify the subscription to private capital AIF AGRICULTURAL FUND, I am sending the following documents:

- ☐ copy of the updated articles of incorporation;
- ☐ registration certificate with the Trade Register Office/competent authority in the country of origin;
- ☐ confirmation of company details issued by the National Trade Register Office/Recom on-line or by similar authorities in the country of origin (in original) and equivalent documents for the other types of legal entities or entities without legal personality, certifying the information referring to client identification;
- ☐ decision of the statutory body (GMS/BoD/Sole Shareholder) authorizing a natural person to represent the company in relation with the Fund, if the authorized person is not the legal representative of the company; the decision is not necessary if the legal representative presents with the authorized person to fill in the subscription application;
- ☐ copy of an ID with photo of the legal representative/authorized person (CI/ BI for Romanian citizens, national identity document/passport - for citizens of the Member States of the European Union and the European Economic Area, passport - for citizens of third countries, with the words "true copy").
- ☐ proof/address from the bank resulting into the IBAN account and company identification data (SRC, office)
- ☐ list of account signatories, directors, persons holding management positions or power of representation of the company to subscribe to fund units:

Name	Position:	Signature type*	Signature specimen

*Joint: is valid with another signature

*Unique: full rights

11. GDPR information

We hereby notify you the following personal data information:

SAI Atlas Asset Management SA complies with the legal provisions on the protection of personal data and implements technical and organizational measures to protect all operations directly or indirectly relating to personal data, which prevent unauthorized or unlawful processing and accidental or unlawful loss or destruction.

SAI Atlas Asset Management SA processes your personal data and beneficial owner's data entered in the subscription and redemption form:

- to execute the contract concluded with you, including to perform the activities carried out by SAI Atlas Asset Management SA in your interest by virtue of the documents signed;
- to fulfil the tax obligations in connection with the subscription of units;
- to fulfil the legal obligations imposed on SAI Atlas Asset Management SA;
- to know-your-customer to prevent money laundering and terrorism financing;
- to prevent frauds and guarantee the bank secrecy by verifying the authenticity of the presented ID;
- to report transactions, if necessary;
- to carry out audits and internal investigations; financial-administrative management; management of the conflicts of interests;
- to manage the controls of authorities or supervisory authorities;
- to ensure the security within the Company premises; to keep, store (before archiving) and archive the documents;
- to implement personal data security measures.

Please note that your refusal to provide data/interference with the data you have provided to SAI Atlas Asset Management SA via the forms (SAI Atlas Asset Management SA documents) may prevent the certification of the fund subscription transaction. Providing the personal data entered on the subscription/redemption form and retaining a copy of your identity card is a legal

obligation provided by Law 129/2019 and in the FSA Regulation no. 13/2019, and your refusal to provide them will prevent the Fund's subscription acceptance process from proceeding, i.e. your certification as an investor in the Fund.

In case of refusal, SAI Atlas Asset Management SA is exonerated from liability.

SAI Atlas Asset Management SA does not use personal data for automated processing or profiling. SAI Atlas Asset Management SA uses technical means to store data securely and does not process data for secondary purposes incompatible with the purposes for which it collected them.

SAI Atlas Asset Management SA strictly respects and safeguards professional secrecy and does not disclose personal data except for the fulfilment of your interests or legal obligations.

SAI Atlas Asset Management SA reviews every year the data collected, analysing to what extent their retention is necessary for the purposes mentioned, your legitimate interests or the fulfilment of legal obligations.

In accordance with the legal provisions concerning the protection of individuals with regard to the processing of personal data, the free movement and processing of such data, you may exercise the following specific rights: (a) the right to information, (b) the right of access, (c) the right of rectification, (d) the right to erasure of personal data (right to be forgotten), (e) the right to restriction of processing, (f) the right to data portability, (g) the right to object. Please inform us of your requests, in any way that is certain about the identity of the applicant and his/her right, at contact@atlas-am.ro or at the offices of SAI Atlas Asset Management SA. We will answer without delay. If you are dissatisfied with the answer, you can complain to the ANSPDCP.

Further information can be obtained from the staff of SAI Atlas Asset Management SA or by consulting the website at www.atlas-am.ro.

Signature¹ of the client (and stamp, if applicable)

S.A.I. ATLAS ASSET MANAGEMENT S.A. by

Signature_____

Signature² of the client

Date _____
Time _____

Signature of the authorized person _____

**Fill in the annex to the Subscription form if several authorized persons*

To be filled in by SAI Atlas Asset Management SA

Application series _____ **No. of investor account** _____

Fund managed by SAI Atlas Asset Management SA

Investment Management Company by Decision of the FSA (CNVM) no. 607/14.04.2009

Alternative Investment Fund Manager by FSA Authorization no. 44/15.02.2018

Registered office in Romania, Bucharest, sector 1, Șoseaua București-Ploiești, nr. 7A, Etaj 6 biroul 1

Registered with the Bucharest ONRC under no. J40/15700/15.09.2008 and SRC 24467322

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